

05/24/01

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05/24/01

# UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Attorney Docket No. 99,898-A

First Named Inventor Guolin Cai

Express Mail No. EL604650643US

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Transmittal Form with Fee	9. <input type="checkbox"/> Assignment Papers	
2. <input checked="" type="checkbox"/> Specification (including claims and abstract) [Total Pages 65]	10. <input type="checkbox"/> Power of Attorney	
3. <input type="checkbox"/> Drawings [Total Sheets ]	11. <input type="checkbox"/> English Translation Document (if applicable)	
4. <input type="checkbox"/> Oath or Declaration [Total Pages ]	12. <input type="checkbox"/> Information Disclosure Statement (IDS)	
a. <input type="checkbox"/> Newly executed	<input type="checkbox"/> PTO-1449 Form	
b. <input type="checkbox"/> Copy from prior application	<input type="checkbox"/> Copies of IDS Citations	
[Note Boxes 5 and 18 below]		
i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> Signed statement attached deleting inventor(s) named in the prior application	13. <input type="checkbox"/> Preliminary Amendment	
5. <input type="checkbox"/> Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	14. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized)	
6. <input type="checkbox"/> Computer Code Listing (See 1.96)	15. <input type="checkbox"/> Certified Copy of Priority Document(s)	
a. <input type="checkbox"/> Microfiche Appendix	16. <input type="checkbox"/> A Request for non-publication pursuant to 35 USC 122(b)(2)(B)(i)	
b. <input type="checkbox"/> CD-Rom (in duplicate, with separate transmittal)	17. <input type="checkbox"/> Other:	
7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission		
a. <input type="checkbox"/> Computer Readable Copy		
b. <input type="checkbox"/> Paper Copy		
c. <input type="checkbox"/> Statement verifying above copies		
8. <input type="checkbox"/> Small Entity Status		
<input type="checkbox"/> is claimed		
<input type="checkbox"/> Statement filed in prior application; status still proper and desired		
<input type="checkbox"/> is no longer claimed.		
18. <input type="checkbox"/> This is a CONTINUING APPLICATION. Please note the following:		
a. <input type="checkbox"/> This is a <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application		
b. <input type="checkbox"/> Cancel in this application original claims of the prior application before calculating the filing fee. (At least one claim must remain.)		
c. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of application Serial No.		
d. <input type="checkbox"/> The prior application is assigned of record to Neurogen Corporation.		

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 99,898-A

## APPLICATION FEES

BASIC FEE				\$ 710.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	65 -20=	45	x \$18.00	\$ 810.00
Independent Claims	5 - 3=	2	x \$80.00	\$ 160.00
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$270.00	\$
				Total of above calculations = \$ 1,680.00
				Reduction by 50% for filing by small entity = \$( )
<input type="checkbox"/> Assignment fee if applicable			+ \$40.00	\$
				TOTAL = \$ 1,680.00

19.  Please charge my Deposit Account No. 13-2490 in the amount of \$

20.  A check in the amount of \$1,680.00 is enclosed.

21. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 13-2490:

- Fees required under 37 CFR 1.16.
- Fees required under 37 CFR 1.17.
- Fees required under 37 CFR 1.18.

22. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 13-2490 for any fee that may be due in connection with such a request for an extension of time.

## 23. CERTIFICATE OF MAILING

I hereby certify that, under 37 CFR § 1.10, I directed that the correspondence identified above be deposited with the United States Postal Service as "Express Mail Post Office to Addressee," addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date indicated below.

## 24. USPTO CUSTOMER NUMBER

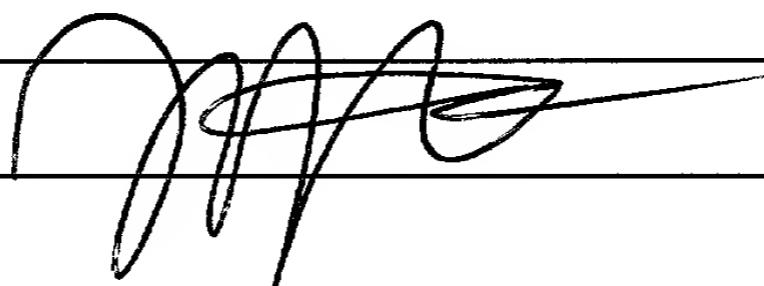


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PATENT TRADEMARK OFFICE

McDonnell Boehnen Hulbert &amp; Berghoff

## 25. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Steven J. Sarussi
Reg. No.	32,784
Signature	
Date	May 24, 2001

UTIL (Rev. 11/21/00)